Adjusting Hemoglobin Values in Program Surveys

Population-based studies show that the distribution of hemoglobin values is normal, with a standard deviation of 10 percent among apparently normal people and about 7 percent when those with iron deficiency anemia are excluded. Hemoglobin values, however, vary with age, sex, and stage of pregnancy, and they are also affected by ethnicity, altitude, and smoking. For these reasons, it is important to adjust for these factors in population-based surveys when interpreting hemoglobin values.

This document describes when and how to adjust individual hemoglobin values in population-based surveys for the above factors.

This statement was prepared by Dr. Penelope Nestel. It was reviewed by the INACG Steering Committee.

Children, Nonpregnant Women, and Men

For full-term infants, hemoglobin concentrations at birth are higher than at any other time in life, reflecting fetal adaptation to the oxygen-deficient environment of the uterus.² In the first 2 months of life, hemoglobin concentration falls, reaching its lowest point at the age of about 2 months. This is thought to be due to the sudden decrease in erythropoiesis, or red cell production, as a result of the increased delivery of oxygen to tissues.³ Thereafter, hemoglobin concentration rises gradually to the age of about 6 months, after which it levels out. The concentration of hemoglobin in blood normally increases as children get older. During adolescence hemoglobin production increases even more as a result of accelerated growth. For these rea-

Table 1. Hemoglobin cutoffs to define anemia in people living at sea level

people living at sea level				
	Hemoglobin below (g/L)			
Children				
6 months to 5 years	110			
5 to 11 years	115			
12 to 14 years	120			
Nonpregnant females > 15 years	120			
Men > 15 years	130			

sons, age-specific values must be used to define anemia in children. Also, men have higher hemoglobin concentrations than women because of testosterone, which results in both larger body size and larger erythrocyte mass.⁴

The World Health Organization defines anemia as a hemoglobin value below the age-specific 2.5th percentile value in a nonanemic distribution. The accepted cutoffs⁵ to define anemia in people living at sea level are shown in Table 1.

Pregnant Women

Both plasma volume and red cell mass expand in pregnancy. Because the expansion in plasma volume is greater,

the net result is that hemoglobin is diluted. In women with adequate iron nutrition, hemoglobin concentration starts to fall during the early

part of the first trimester, reaches its lowest point near the end of the second trimester, and then gradually rises during the third trimester. Trimester-specific adjustments have been developed and are shown in Table 2 along with the generic value used when the trimester is unknown.

Table 2. Hemoglobin adjustment for pregnancy in women living at sea level

Worrier living at sea level	
Stage of pregnancy (trimester)	Hemoglobin (g/L)
First	-10
Second	-15
Third	-10
Trimester unknown	-10

Table 3. Hemoglobin adjustment for healthy

people of African extraction living at sea level

Everyone

Hemoglobin (g/L)

-10

Ethnicity

Data from the United States show that healthy people of African extraction of all age groups at all times, except during the perinatal period, have hemoglobin concentrations 5 to 10 g/L below those of whites and this difference

is independent of iron deficiency and in some cases hemoglobinopathies and socio-economic factors.⁷⁻¹¹ Other US-based races including East Asians,⁸ Hispanics,¹² Japanese,¹³ and American Indians¹⁴ have hemoglobin values similar to that for white Americans. The US Insti-

tute of Medicine¹⁵ justifies adjusting the hemoglobin cutoff for children of African extraction below 6 years old downward by 0.3 g/L and that for adults of African extraction by 0.8 g/L on the basis that the hemoglobin values for Americans of African extraction are lower than those of other US-based populations of comparable iron status and also because doing so is consistent with the accepted definition

of anemia as a hemoglobin concentration below the 2.5th percentile. The report goes on to say that using the lower cutoff value would result in fewer false-positive diagnoses of anemia, which would reduce the

likelihood of people being classified as failing to respond to treatment due to poor compliance or the anemia not being the result of iron deficiency. However, whether ethnic differences in hemoglobin concentrations exist in populations outside the USA, where the etiology of

anemia is more complex, remains unknown. Based on the US data for healthy people of African extraction, and to achieve adequate sensitivity and specificity for screening purposes, WHO⁵ indicates that hemoglobin concentrations be adjusted downward by 10 g/L in people of African extraction irrespective of age, as shown in Table 3.

Table 4. Hemoglobin adjustment for smokers			
Amount smoked	Hemoglobin (g/L)		
1/2-1 pack/day	+3		
I-2 packs/day	+5		
>2 packs/day	+7		
All smokers	+3		

Smoking

Hemoglobin concentration increases in smokers because the inhaled carbon monoxide results in increased carboxyhemoglobin, which has no oxygen-carrying capacity. To compensate, hemoglobin levels increase. To take into account the resulting elevated hemoglobin concentration, the U.S. Centers for Disease Control and Prevention developed a smoking-specific hemoglobin adjustment to define anemia in smokers. Table 4 shows the values to add to normal hemoglobin cutoffs to define anemia in smokers. Alternatively, these values can be subtracted from observed hemoglobin values.

Altitude

At elevations above 1000 m, hemoglobin concentrations increase as an adaptive response to the lower partial pressure of oxygen and reduced oxygen saturation of blood. The compensatory increase in red cell production ensures that sufficient oxygen is supplied to tissues.

The CDC's Pediatric Nutrition Surveillance System used data from 2- to 5-year-old children with little or no iron deficiency from clinics at 1200 to 3000 m elevation to develop a curve that describes hemoglobin changes with altitude. The progression is curvilinear, with the increase in hemoglobin concentration becoming steeper as altitude increases. The data in Table 5, which is based on the equation below, show the adjustment values in altitudes at 500-m intervals. (The altitude at which the blood sample is taken is rounded up or down to the nearest 500 m.)

Hb =
$$-0.32 \times$$
 (altitude in meters $\times .0033$) + 0.22 \times (altitude in meters $\times .0033$)²

Data from iron-replete Ecuadorian children 6 to 59 months of age living at altitudes ranging from sea level to 3400 m were used to develop another hemoglobin adjustment curve. The latter is based on a different equation (Hb = $6.83 \times \exp [0.000445 \times \text{altitude in meters}] + 113.3$), which gives values 2 to 3 g/L higher than the CDC values (see the Appendix Table). These higher values were ascribed to the smaller sample size in the Ecuador data set (R. Yip, personal communication, 1995). The investigators in the Ecuador study also assumed that the association between hemoglobin concentration and altitude is exponential from sea level, but there is no biological evidence that hemo-

Table 5. Hemoglobin adjustments (g/L) for altitude

Altitude (m)	Hemoglobin (g/L)		
<1000	0		
1000	1		
1500	4		
2000	7		
2500	12		
3000	18		
3500	26		
4000	34		
4500	44		
5000	55		
5500	67		

Note: The numbers in red are extrapolated values.

globin concentration varies at altitudes below 1000 m. Given the latter fact, and the fact that for practical purposes the small differences in hemoglobin concentration at the different altitudes are not critical, the CDC adjustment factors would appear to be more appropriate.

In adults, the hemoglobin concentration of Peruvian men was measured at a few high altitudes by Hurtado et al. ¹⁶ Their findings were similar to the predicted values obtained by the CDC (see the Appendix Table). In the absence of other data, the CDC values can be applied to adult men.

Cohen and Hass¹⁸ used data from pregnant Bolivian women residing at altitudes between 1000 and 4800 m to determine adjustments for estimating the prevalence of anemia in pregnancy at high altitude. They derived a curve (Hb = $120 + 16.3 \times [exp (0.00038 \times (altitude in meters - 1000)])$ that was theoretically grounded on the nonlinear shape of the oxygen disassociation curve. An important assumption in the Cohen and Hass model was that pregnancy plasma volume expansion at high altitude is similar to that at sea level, although, as the authors noted, it is not clear whether this is the case.^{19,20} The Cohen and Hass values were similar to those of the CDC, although, unlike the Ecuador data set, they were 2 to 4 g/L lower depending on the altitude (see the Appendix Table). Again, because of the similarity between these values and the CDC values, it is recommended that the CDC values also be used for pregnant women.

How to Adjust Hemoglobin Concentrations for Different Populations at Different Altitudes

The adjustment values in Table 5 can be used in one of two ways:

- to add the correction value to the sea-level cutoff values shown in Table 1, or
- to subtract the adjustment from the measured hemoglobin concentration at the relevant altitude—to the nearest 500 m—to get the sea-level value.

The above is important because the WHO hemoglobin cutoffs for anemia refer to sea-level values.

Along with the pregnancy and race adjustments, smoking and altitude adjustments are additive⁶ to the values given in Table 1. For example, the cutoff hemoglobin value for a 2-year-old child of African extraction at 700 m would be:

```
110 \text{ (2-year-old)} - 10 \text{ (ethnicity)} + 0 \text{ (altitude)} = 100 \text{ g/L}
```

whereas that for a pregnant Asian woman living at 4200 m and smoking half a pack of cigarettes a day would be

```
120 (nonpregnant) – 0 (ethnicity) + 34 (altitude) + 3 (smoking) = 157 g/L.
```

Individual observed values are adjusted by subtracting the appropriate values for smoking and altitude. For example, a 3-year-old living at 1800 m whose hemoglobin measurement is 114 g/L would be

114 (observed hemoglobin) -7 (altitude) = 107 g/L at sea level.

Similarly a pregnant woman who smokes and whose hemoglobin measurement is 105 g/L at 3100 m would be

105 (observed hemoglobin) – 3 (smokes) – 18 (altitude) = 84 g/L at sea level.

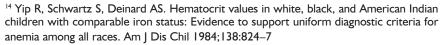
Appendix Table. Hemoglobin adjustments (g/L) for altitude according to different studies

	Children Men Pregnant women			
Altitude (m)	CDC ⁶	Dirren et al. ¹⁷	(Hurtado et al. 16)	(Cohen and Hass ¹⁸)
0	0	0		
1000	- 1	4		0
1500	4	6	6	3
2000	7	10		7
2500	12	14		11
3000	18	19		16
3500	26	26	26	23
4000	34	34		31
4500	44	44	44	40
5000	55	56	56	51
5500	67	72		65

Note: The numbers in red are extrapolated values.

References

- ¹ Bothwell TH, Charlton RW. Iron deficiency in women. Washington, DC: International Nutritional Anemia Consultative Group (INACG), 1981
- 2 Dallman PR. Review of iron metabolism. In: Filer LJ, ed. Dietary iron: birth to two years. New York: Raven Press Ltd, 1989
- ³ Dallman PR, Siimes MA. Iron deficiency in infancy and childhood. Washington, DC: INACG, 1979
- ⁴ Yip R, Dallman PR. Iron. In: Ziegler WW, Filer LJ, eds. Present knowledge in nutrition, 7th ed. Washington, DC: ILSI Press, 1996
- ⁵ WHO/UNICEF/UNU, ed. Iron deficiency anaemia, assessment, prevention and control: a guide for programme managers. WHO/NHD/01.3. Geneva: WHO, 2001
- ⁶ Centers for Disease Control and Prevention. Criteria for anemia in children and childbearing-aged women. MMWR Morb Mortal Wkly Rep 1989;38:400–404
- 7 Garn SM, Smith NJ, Clark DC. Lifelong differences in hemoglobin levels between blacks and whites. J Natl Med Assoc 1975;67:91
- ⁸ Dallman PR, Barr GD, Allen CM, Shinefield HR. Hemoglobin concentration in white, black, and Oriental children: is there a need for separate criteria in screening for anemia? Am J Clin Nutr 1978;31:377
- ⁹ Pan WH, Habicht JP. The non-iron-deficiency-related differences in hemoglobin concentration distribution between blacks and whites and men and women. Am J Epidemiol 1991;134:1410–1416
- ¹⁰ Perry GS, Byers T, Yip R, Margen S. Iron nutrition does not account for the hemoglobin differences between blacks and whites. J Nutr 1992;122:1417–1424
- ¹¹ Johnson-Spear M, Yip R. Hemoglobin difference between black and white women with comparable iron status: justification for race-specific anemia criteria. Am J Clin Nutr 1994;60: 117–121
- ¹² Looker AC, Johnson CL, McDowell MA, Yetley EA. Iron status: Prevalence of impairment in three Hispanic groups in the United States. Am J Clin Nutr 1989;49:553–8.
- ¹³ Uchida T, Yoshida M, Sakai K, et al. Prevalence of iron deficiency in Japanese women. Acta Haematol Jpn 1988;51:24–7.



¹⁵ Earl R, Woteki CE, (ed). Iron deficiency anemia: recommended guidelines for the prevention, detection, and management among U.S. children and women of childbearing age. Food and Nutrition Board, Institute of Medicine. 1993. Washington DC: National Academy Press

About INACG

The International Nutritional Anemia Consultative Group (INACG) is dedicated to reducing the prevalence of iron deficiency anemia and other nutritionally preventable anemias worldwide. It sponsors international meetings and scientific reviews and convenes task forces to analyze issues related to etiology, treatment, and prevention of nutritional anemias. Examination of these issues is important to the establishment of public policy and action programs.

INACG Steering Committee

Dr. John Beard Pennsylvania State University, USA Dr. Frances R. Davidson, Secretary U.S. Agency for International Development, USA Dr. Lena Davidsson, Chair Swiss Federal Institute of Technology, Switzerland Dr. Eva Hertrampf Micronutrients Lab, INTA, Chile Dr. Marian Jacobs University of Cape Town, South Africa Dr. Sean Lynch Eastern Virginia Medical School, USA Dr. Rebecca J. Stoltzfus The Johns Hopkins University, USA UNICEF- ESARO, Kenya Dr. Olivia Yambi







INACG Secretariat Staff

Suzanne S. Harris, Ph.D. Veronica I. Triana, MPH

This publication is made possible by support from Micronutrient Global Leadership, a project of the Office of Health, Infectious Disease and Nutrition, Bureau for Global Health, U.S. Agency for International Development, under Cooperative Agreement Number HRN-A-00-98-00027-00.

June 2002 Printed in the United States of America

Additional copies of this and other INACG publications are available free of charge to developing countries and for US \$3.50 to developed countries. Copies can be ordered from the INACG Secretariat:

INACG Secretariat
ILSI Human Nutrition Institute
One Thomas Circle, NW
Ninth Floor
Washington, DC 20005 USA

Tel: (202) 659-9024
Fax: (202) 659-3617
Email: hni@ilsi.org
Internet: http://inacg.ilsi.org

The ILSI Research Foundation's Human Nutrition Institute serves as the INACG Secretariat.

 $^{^{16}\,\}mbox{Hurtado}$ A, Merino C, Delgado E. Influence of anoxemia on the hemopoietic activity. Arch Int Med I 945;75:284–323

¹⁷ Dirren H, Logman MHGM, Barclay DV, Freire WB. Altitude correction for hemoglobin. Eur J Clin Nutr 1994;48:625–632

¹⁸ Cohen JH, Hass JD. Hemoglobin correction factors for estimating the prevalence of iron deficiency anemia in pregnant women residing at high altitudes in Bolivia. Pan Am J Pub Health 1999;6:392–396

¹⁹ Hass JD. Distribucion de la hemoglobina en individuos normales recidentes a gran altitud en Bolivia. In: Berger J, ed. Anemia por deficiencia de heirro en la region andina: definicion y estrategias de intervencion. La Paz, Bolivia: ORSTOM, 1966:55–77

²⁰ Zamudio S, Palmer SK, Dahms TE, et al. Alterations in uteroplacental blood flow precede hypertension in preeclampsia at high altitude. J Appl Physiol 1995;79:15–22